

UNITED STATES DEPARTMENT OF STATE
BUREAU OF POLITICAL-MILITARY AFFAIRS
WASHINGTON, DC 20520

In the Matter of:)
)

DR. WAH L. LIM)
California)
)

Respondent)
)

ORDER

WHEREAS, the Office of Defense Trade Controls, Bureau of Political Military Affairs, United States Department of State (the "Department"), has notified Dr. Wah L. Lim ("Dr. Lim") of its intention to initiate an administrative proceeding against it pursuant to the Arms Export Control Act (the "Act") (22 C.F.R. § 2778 (e)) and its implementing regulations, the International Traffic in Arms Regulations (22 C.F.R. § 120-130) (the "Regulations"), in relation to alleged violations of the Act and Regulations concerning the unauthorized export of defense articles (i.e., controlled technical data) and defense services to the People's Republic of China ("PRC"), and concerning the misrepresentation and/or omission of material facts from information presented to the Department in its review of this matter (which alleged violations and misrepresentations have been described to the respondent);

WHEREAS, in a statement annexed to the Consent Agreement Dr. Lim has accepted appropriate responsibility and expressed due remorse for violations of the Act and Regulations which may have resulted from his personal conduct, and now wishes to settle and dispose of any and all possible civil charges pursuant to § 38 of the Act and the Regulations resulting from his conduct by entering into the Consent Agreement;

WHEREAS, the Department and Dr. Lim have entered into a Consent Agreement pursuant to Section 128.11 of the Regulations whereby the Department and Dr. Lim have agreed to settle this matter in accordance with the terms and conditions set forth therein;

IT IS THEREFORE ORDERED,

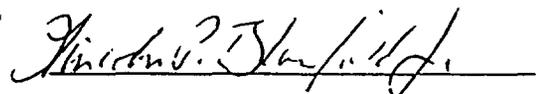
FIRST, that Dr. Lim shall pay to the Department a civil penalty of \$100,000 (one hundred thousand dollars), of which \$50,000 shall be paid within sixty days of the signing of the Order, and of which the remaining \$50,000 shall be suspended on the condition that Dr. Lim faithfully adhere to the terms and conditions of the Agreement. A material failure by Dr. Lim to adhere to the terms and conditions of the Agreement may, in the discretion of the Department, result in a lifting of the suspension, in which case Dr. Lim shall be required to pay the remaining \$50,000.

SECOND, Upon the signing of the Order, Dr. Lim shall be the subject of debarment by the Department and ineligible (within the meaning of § 38 of the Act and the Regulations) to participate directly or indirectly in the export of defense articles or defense services, or in any other activity (e.g., brokering) subject to the jurisdiction of the International traffic in Arms Regulations. Such debarment shall be deemed to have commenced on February 22, 2001 (i.e., the date of Dr. Lim's effective co-operation with the Department of State), and shall run for a period of three years from such date; this period of debarment shall be suspended upon the first anniversary of Dr. Lim's effective co-operation (February 22, 2002) (i.e., it shall be suspended for the duration of years two and three) on the condition that Dr. Lim faithfully adheres to the terms and conditions of this Agreement and does not engage in conduct prompting any compliance or law enforcement concerns under the Act, the Regulations or other statutes enumerated in § 127.27 of the Regulations.

THIRD, Dr. Lim shall comply with all terms and conditions of the Consent Agreement, and shall do so within the deadlines established therein.

FOURTH, that the Consent Agreement and Dr. Lim's signed statement annexed thereto and this Order shall be made available to the public.

This Order becomes effective on the day it is signed.



Lincoln P. Bloomfield, Jr.
Assistant Secretary for
Political-Military Affairs
Department of State

Entered this 10th day of January 2002